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MAY 29 2001

TRANSMITTAL OF FORMAL DRAWINGS

Docket No.  
DP-302902

Application Of: M. JAMES GRIEVE, ET AL.

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
09/781,687	FEBRUARY 12, 2001			

Invention: TRAPPING METHOD AND SYSTEM FOR ENERGY CONVERSION DEVICES

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith are:

3 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

Signature

PAMELA J. CURBELO  
REGISTRATION NO. 34,676  
CUSTOMER NO. 23413  
(860) 286-2929

Dated: MAY 25, 2001

I certify that this document and attached formal drawings are being deposited on MAY 25, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

SONIA MANCINI

Typed or Printed Name of Person Mailing Correspondence

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
DP-302902

In Re Application Of: M. JAMES GRIEVE, ET AL.

Serial No.  
09/781,687

Filing Date  
FEBRUARY 12, 2001

Examiner

Group Art Unit

Invention: **TRAPPING METHOD AND SYSTEM FOR ENERGY CONVERSION DEVICES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
April 9, 2001  
Date

Enclosed herewith for filing are the following:

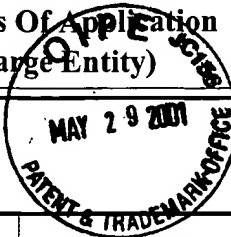
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Docket Number and Title**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
DP-302902

In Re Application Of: M. JAMES GRIEVE, ET AL.



Serial No.  
09/781,687

Filing Date  
FEBRUARY 12, 2001

Examiner

Group Art Unit

Invention: **TRAPPING METHOD AND SYSTEM FOR ENERGY CONVERSION DEVICES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,146.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0831**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0831**  
A duplicate copy of this sheet is enclosed.

  
Signature

**PAMELA J. CURBELO**  
**REGISTRATION NO. 34,676**  
**CUSTOMER NO. 23413**  
**(860) 286-2929**

Dated: **MAY 25, 2001**

I certify that this document and fee is being deposited on **MAY 25, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**SONIA MANCINI**

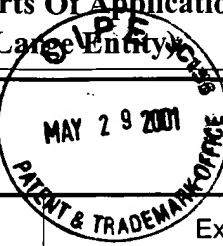
Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
DP-302902

In Re Application Of: **M. JAMES GRIEVE, ET AL.**



Serial No.  
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Group Art Unit

Invention: **TRAPPING METHOD AND SYSTEM FOR ENERGY CONVERSION DEVICES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$710.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 3 \_\_\_\_\_

☒ Total number of claims = 37 \$306.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,146.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$1,146.00



#3

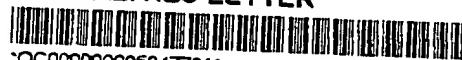
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,687	02/12/2001	Malcolm James Grieve	DP-302902

CONFIRMATION NO. 6142

## FORMALITIES LETTER



\*OC00000005947701\*

VINCENT A. CICHOSZ  
DELPHI TECHNOLOGIES, INC.  
Legal Staff  
P.O. Box 5052, Mail Code: 480-414-420  
Troy, MI 48007-5052

Date Mailed: 04/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$306.
  - \$306 for 17 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1146.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

05/31/2001 ETULU1 00000065 500831 09781687 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	710.00 CH
02 FC:103	306.00 CH
03 FC:105	130.00 CH